

U.S. DEPARTMENT OF EDUCATION
Gaining Early Awareness and Readiness for Undergraduate Program
(GEAR UP)
Annual Performance Report for Partnership and State Projects
Cover Sheet

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| 1. PR/Award Number: | P334A110229 |
| 2. Name of Grantee | Yale University |
| 3. Address: | Yale University School of Medicine 389 Whitney Avenue, New Haven, CT 06511 |
| 4. Project Director: | Nadia L Ward |
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Section III: Grant Administration and Budget Information

1. Federal Budget Summary

	Total Federal Funds Awarded for Current Budget Period	Carryover Funds Available (Include Funds Carried Over from Previous Budget Period(s))	Actual Federal Expenditures from Current Budget Period thru March of APR Submission Year	Anticipated Federal Expenditures from April to Current Budget Period End Date	Anticipated Carryover to Next Budget Period
1. Salaries and Wages	\$460,726		\$287,186	\$173,540	
2. Employee Benefits	\$117,593		\$58,105	\$59,488	
3. Travel	\$24,000		\$21,545	\$2,455	
4. Materials and Supplies	\$27,010		\$47,463	\$0	
5. Consultants and Contracts	\$296,450		\$178,048	\$97,949	
6. Other	\$152,477		\$137,284	\$15,193	
A. Total Direct Cost (1-6) (Read Only)	\$1,078,256		\$729,631	\$348,625	
B. Total Indirect Costs (less than 8% of A)	\$69,744		\$42,147	\$27,597	
C. Equipment	\$0		\$0	\$0	
D. Scholarships/Tuition Assistance	\$0		\$0	\$0	
E. Total Costs (A+B+C+D) (Read Only)	\$1,148,000	\$0	\$771,778	\$376,222	\$0

2. Non-Federal Matching Budget Summary

	Matching Contributions Proposed for Current Budget Period	Actual Matching Contributions from Current Budget Period thru March of APR Submission Year	Anticipated Matching Contributions from April to Current Budget Period End Date
1. Salaries and Wages	\$849,438	\$587,639	\$425,719
2. Employee Benefits	\$119,901	\$97,626	\$70,624
3. Travel	\$6,475	\$600	\$1,000
4. Materials and Supplies	\$22,970	\$300	\$0
5. Consultants and Contracts	\$3,600	\$1,500	\$0
6. Other	\$10,750	\$12,347	\$3,090
A. Total Direct Cost (1-6) (Read Only)	\$1,013,134	\$700,012	\$500,433
B. Total Indirect Costs (less than 8% of A)	\$1,904	\$0	\$0
C. Equipment	\$3,500	\$0	\$0
D. Scholarships/Tuition Assistance	\$8,500	\$0	\$0
E. Total Costs (A+B+C+D) (Read Only)	\$1,027,038	\$700,012	\$500,433

Note: You have not requested a match reduction in your original application.

3. Actual Federal Expenditures and Matching Contribution

	Year 1		Year 2		Year 3	
	Actual Federal Expenditures	Actual Matching Contributions	Actual Federal Expenditures	Actual Matching Contributions	Actual Federal Expenditures	Actual Matching Contributions
1. Salaries and Wages	\$268,286	\$1,026,841	\$382,525	\$722,277	\$0	\$0
2. Employee Benefits	\$77,012	\$149,292	\$101,689	\$121,118	\$0	\$0
3. Travel	\$30,934	\$1,370	\$37,387	\$1,411	\$0	\$0
4. Materials and Supplies	\$19,056	\$800	\$27,088	\$50	\$0	\$0
5. Consultants and Contracts	\$0	\$1,900	\$353,636	\$10,400	\$0	\$0
6. Other	\$49,133	\$6,070	\$76,583	\$8,796	\$0	\$0
A. Total Direct Cost (1-6) (Read Only)	\$444,421	\$1,186,273	\$978,908	\$864,052	\$0	\$0
B. Total Indirect Costs (less than 8% of A)	\$33,101	\$0	\$52,079	\$86	\$0	\$0
C. Equipment	\$0	\$2,500	\$0	\$0	\$0	\$0
D. Scholarships/Tuition Assistance	\$0	\$0	\$0	\$0	\$0	\$0
E. Total Costs (A+B+C+D) (Read Only)	\$477,522	\$1,188,773	\$1,030,987	\$864,138	\$0	\$0

	Year 4		Year 5		Year 6	
	Actual Federal Expenditures	Actual Matching Contributions	Actual Federal Expenditures	Actual Matching Contributions	Actual Federal Expenditures	Actual Matching Contributions
1. Salaries and Wages	\$0	\$0	\$0	\$0	\$0	\$0
2. Employee Benefits	\$0	\$0	\$0	\$0	\$0	\$0
3. Travel	\$0	\$0	\$0	\$0	\$0	\$0
4. Materials and Supplies	\$0	\$0	\$0	\$0	\$0	\$0
5. Consultants and Contracts	\$0	\$0	\$0	\$0	\$0	\$0
6. Other	\$0	\$0	\$0	\$0	\$0	\$0
A. Total Direct Cost (1-6) (Read Only)	\$0	\$0	\$0	\$0	\$0	\$0
B. Total Indirect Costs (less than 8% of A)	\$0	\$0	\$0	\$0	\$0	\$0
C. Equipment	\$0	\$0	\$0	\$0	\$0	\$0
D. Scholarships/Tuition Assistance	\$0	\$0	\$0	\$0	\$0	\$0
E. Total Costs (A+B+C+D) (Read Only)	\$0	\$0	\$0	\$0	\$0	\$0

Total Actual Federal Expenditures: \$1,508,509
 Total Actual Matching Contributions: \$2,052,911

4. If you are not expending Federal or matching funds as originally budgeted, please provide an explanation for the change. Please describe how you plan to expend carryover funds and/or how you plan to meet your matching requirements.

We are expending federal and matching funds as originally budgeted in our application.

5. Describe any significant changes in your project design since the approval of your grant application Do you anticipate making changes to your project design in the coming year? If so, please describe. How have any changes or anticipated changes affected your budget? How will these changes impact quantitative outcomes and your ability to meet the project's goals?

This year our project has added an additional school, however, there has been no change in the provision of services to GEAR UP students. Please see Section II.

6. Key Personnel

No	Name	Title	Time on Grant		Individual Paid By	
			%	Hours	Fed. Funds	Match
1	Nadia L. Ward	Project Director	50.0		Yes	
2	Ruth Garth	District Coordinator, Bpt.	50.0		Yes	
3	Michael Strambler	Program Evaluator	100.0		Yes	
4	Barbara Nangle	Program Coordinator	50.0		Yes	
5	Kai Perry	Cluster Liaison	40.0		Yes	
6	Eddie Quiles	Cluster Liaison	40.0		Yes	
7	Alisha Smith	Cluster Liaison	40.0		Yes	
8	Michael Mulford	Exec. Director for Student Services	20.0			Yes

7. Describe any changes to key personnel of this grant that have come about over the past year, including changes in titles, changes in percentage of time that a person is devoting to the project, hiring of a key staff person, departure of a key staff person, or addition or elimination of a position. Discuss any significant changes to key personnel proposed or anticipated for the coming reporting period.

There have been no changes to key personnel on the project during the past year.

8. Describe any changes to the roles of your partners during the past year. Have any partners been added to your grant? Have any partners discontinued their participation in your grant? Has the role of any existing partner changed significantly?

There have been no changes in the roles of our partners during this past year.

9. Describe briefly your project's record keeping system for collecting and reporting student outcome/achievement data and participation in GEAR UP activities. Specifically, how frequently is data collected, and what method(s) does your project use to collect and maintain data regarding student, parent and teacher participation in GEAR UP activities?

Our project now uses Xcalibur's SCRIBE data system to track and monitor student enrollment and participation in GEAR UP activities. Student service utilization data is collected weekly and entered into our database by our lead advisors and our research assistants on a weekly basis. Teacher, counselor and parent participation in scheduled activities is captured on sign-in sheets and entered into SCRIBE. Survey data from all facets of program implementation is collected using the on line data system Qualtrics. Student achievement data from the district is sent via a secure file transfer protocol.

10. How do you link student outcome/achievement data with student participation? How does your project use the data collected to evaluate and guide the project?

Our student, teacher and parent data are used formatively to enhance and improve program implementation. Our outcome evaluation examines the relationship between student service utilization, social behavioral indicators and academic performance. Academic performance indicators include grades, scores on state standardized assessments, PSAT, SAT, behavioral incident reports, and attendance. Finally, we examine the degree to which associations between program exposure (dosage) and academic performance can be explained by social behavioral indicators.

11. Describe your record-keeping system for maintaining source documentation for all federal and non-federal expenditures (e.g., time and effort record (which include percentage of time spent on grant activities), transportation cost, equipment, supplies, college field trips, and other GEAR UP expenditures. Who is responsible for maintaining the documentation?

12. If your project has a scholarship component for postsecondary education, please provide: a) information about the amount of scholarship money (Federal and non-Federal matching funds) that has been reserved and/or obligated; b) information regarding where scholarship funds are held pending distribution to former GEAR UP students

The University uses the Oracle reporting and record keeping system to maintain source documentation for all federal and non-federal expenditures. Carrie Johnson, Fiscal Manager, is responsible for maintaining records and copies of all expenditures on file with Oracle. Expenses are reviewed monthly and approved by Nadia Ward, Project Director.

13. Number of 12th grade GEAR UP students who have completed the FAFSA: 0

14. Partners

No	Partner Name	Status	Active	Submitted Partner ID Form and Cost Share Worksheet	Type
1	Bridgeport Board of Education	Original	Yes	Yes	School/District
2	Bridgeport Hospital	Original	Yes	Yes	ther type of Organization
3	Bridgeport Public Library	Original	Yes	Yes	ther type of Organization
4	CoBro Consulting	New	Yes	No	ther type of Organization
5	The College Place/ECMC Foundation	Original	Yes	Yes	ther type of Organization
6	Greater Bridgeport Transit	Original	Yes	Yes	ther type of Organization
7	Naviance	Original	Yes	Yes	ther type of Organization
8	Norwalk Community College	Original	Yes	Yes	Institution of Higher Education
9	People's United Bank	Original	Yes	Yes	ther type of Organization
10	Sacred Heart University	Original	Yes	Yes	Institution of Higher Education
11	St. Vincent's Medical Center	Original	Yes	Yes	ther type of Organization
12	Yale University	Original	Yes	Yes	Institution of Higher Education
13	CT Writing Project	New	Yes	No	Institution of Higher Education
14	Dr. Rebecca Dedmond of GWU	New	Yes	No	Institution of Higher Education

15. Indirect Cost Agreement

Current Indirect Cost Agreement

Effective dates of the agreement: Beginning date: 09/25/2011 Ending date: 09/24/2015

Current rate: 8.0%

16. Scholarship Funds

1. Federal Scholarship Funds:	
Amount of funds reserved for GEAR UP students:	\$0
Amount of funds disbursed for GEAR UP students:	\$0
Total number of students receiving scholarships:	\$0
Amount of unexpended funds to be returned to the Department:	\$0
2. Non-Federal Scholarship Funds:	
Amount of funds reserved for GEAR UP students:	\$0
Amount of funds disbursed for GEAR UP students:	\$0
Total number of students receiving scholarships:	\$0
3. College Savings Account Only:	
Number of Accounts Opened:	\$0

Amount of Federal Funds Deposited:	\$0
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Section IV: Demographic Data and Data Regarding Services Provided

1. Demographic Data

A. Students Served:

	Number of Students
Number of students you proposed to serve during the reporting period (from your grant application)	1,435
Actual number of students in your cohort(s) during the reporting period (i.e., number of students served)	1999

B. Participant Distribution by Ethnic Background:

Ethnicity	Number of GEAR UP Students
Hispanic or Latino	801
Race	
American Indian or Alaska Native	2
Asian	42
Black or African American	851
Native Hawaiian or Pacific Islander	4
White	293
Two or More Races	6
Race and/or Ethnicity Unknown	0
Total	1999

C. Participation by Gender:

Gender	Number of GEAR UP Students
Male	924
Female	1075
Total Students Served	1999

D. Participant Distribution by Grade and New or Continuing Status:

Grade Level	Number of New GEAR UP Students	Number of Continuing GEAR UP Students
K-4	0	0
5	0	0
6	0	0
7	0	0
8	0	0
9	910	1089
10	0	0
11	0	0
12	0	0
First Year IHE Enrollment	0	0
Total	910	1089

E. Participants with Limited English Proficiency:

	Number of GEAR UP Students
GEAR UP students with Limited English Proficiency	237

F. Participants with Individualized Education Programs (IEPs) as required by the Individuals with Disabilities in Education Act Amendment of 1997 (IDEA):

	Number of GEAR UP Students
GEAR UP students with Individualized Education Programs	264

2. Participating Schools and Housing Projects:

A. Participating Schools:

Name of School	Grade Levels Offered	Grade Levels Served by GEAR UP	Percentage of Students Eligible for Free and Reduced Price Lunch	City	State	Zip Code	National Center for Education Statistics (NCES) Codes
Barnum Middle School	K-8	7, 8	100.0%	Bridgeport	CT	06608	
Bassick High School	9-12	9	100.0%	Bridgeport	CT	06605	
Batalla Middle School	K-8	7, 8	100.0%	Bridgeport	CT	06605	
Blackham Middle School	K-8	7, 8	100.0%	Bridgeport	CT	06606	
Central High School	9-12	9	100.0%	Bridgeport	CT	06606	
Columbus Middle School	K-8	7, 8	100.0%	Bridgeport	CT	06604	
Cross Middle School	K-8	7, 8	100.0%	Bridgeport	CT	06606	
Curiale Middle School	K-8	7, 8	100.0%	Bridgeport	CT	06604	
Dunbar Middle School	K-8	7, 8	100.0%	Bridgeport	CT	06607	
Fairchild Wheeler High School	9-10	9	100.0%	Bridgeport	CT		
Harding High School	9-12	9	100.0%	Bridgeport	CT	06610	
High Horizons Magnet School	K-8	7, 8	100.0%	Bridgeport	CT	06610	
Hooker Middle School	K-8	7, 8	100.0%	Bridgeport	CT	06610	
Johnson Middle School	K-8	7, 8	100.0%	Bridgeport	CT	06604	
Longfellow Middle School	K-8	7	100.0%	Bridgeport	CT	06605	
Marin Middle School	K-8	7, 8	100.0%	Bridgeport	CT	06608	
Multicultural Magnet School	K-8	7, 8	100.0%	Bridgeport	CT	06610	
Park City Magnet School	K-8	7, 8	100.0%	Bridgeport	CT	06606	
Read Middle School	K-8	7, 8	100.0%	Bridgeport	CT	06606	
Roosevelt Middle School	K-8	7, 8	100.0%	Bridgeport	CT	06605	
Tisdale Middle School	K-8	7, 8	100.0%	Bridgeport	CT	06607	
Waltersville Middle School	K-8	7, 8	100.0%	Bridgeport	CT	06608	
Winthrop Middle School	K-8	7, 8	100.0%	Bridgeport	CT	06606	

B. Participating Housing Projects:

Name of Public Housing Project	Grade Levels Served by GEAR UP	City	State	Zip Code

Services Provided to Students, Parents, Teachers, Schools:**3. Services Provided to Students:**

Type of Service R = Required Activity	Number of Students in the GEAR UP Cohort who Received the Service	Average Hours of Service Per Participant Receiving the Service Per Year
Supportive Services (R)	266	1.1
Rigorous academic curricula (R)	358	321.3
Comprehensive mentoring (R)	95	0.6
Financial aid counseling/advising (R)	1	1.0
Counseling/advising/academic planning/career counseling (R)	1147	1.2
College visit/college student shadowing	685	5.9
Job site visit/job shadowing	0	0.0
Summer programs	210	61.2
Educational field trips	140	5.2
Workshops	0	0.0
Family/cultural events	65	4.4
After School programs	197	4.1
MAAX classes	1612	6.3
Saturday Academy	94	9.6
Other programs	256	1.3

4. Services Provided to Parents:

Type of Service	Number of Parents of Students in the GEAR UP Cohort who Received the Service	Average Hours of Service Per Participant Receiving the Service Per Year
Workshops on college preparation/financial aid	67	2.3
Counseling/advising	0	0.0
College visits	0	0.0
Family events	13	2.5

5. Services Provided to Teachers:

Number of Teachers who Taught GEAR UP Students during the Reporting Period	Number of Teachers of GEAR UP Students who Participated in GEAR UP Sponsored Professional Development during the Reporting Period (April through March)	Average Hours of Professional Development per Participating Teacher during the Reporting Period
299	70	6.6

6. Services Provided to Schools:

Check if your project provides this type of service	Type of Service
<input checked="" type="checkbox"/>	Curriculum development
<input type="checkbox"/>	Dual or current enrollment programs

SECTION V: GEAR UP STUDENT OUTCOMES

1. Course Enrollment:

Grade Student was in when Course was Enrolled	Pre-algebra	Algebra I or Equivalent	Geometry	Algebra II	Calculus	Chemistry	Physics
6	0	0	0	0	0	0	0
7	0	0	0	0	0	0	0
8	0	0	0	0	0	0	0
9	0	1,272	310	24	0	13	255
10	0	0	0	0	0	0	0
11	0	0	0	0	0	0	0
12	0	0	0	0	0	0	0
First Year IHE	0	0	0	0	0	0	0
Total	0	1,272	310	24	0	13	255

Grade Student was in when Course was Enrolled	At Least one Advanced Placement Class	Trigonometry	Pre-Calculus	Biology	English & Language Arts	At Least One International Baccalaureate Class	At Least Two Years of Math Beyond Algebra 1
6	0	0	0	0	0	0	0
7	0	0	0	0	0	0	0
8	0	0	0	0	0	0	0
9	3	0	1	509	1,373	5	24
10	0	0	0	0	0	0	0
11	0	0	0	0	0	0	0
12	0	0	0	0	0	0	0
First Year IHE	0	0	0	0	0	0	0
Total	3	0	1	509	1,373	5	24

2. Course Completion:

Grade Student was in when Course was Completed	Pre-algebra	Algebra I or Equivalent	Geometry	Algebra II	Calculus	Chemistry	Physics
6	0	0	0	0	0	0	0
7	0	0	0	0	0	0	0
8	911	0	0	0	0	0	0
9	0	0	0	0	0	0	0
10	0	0	0	0	0	0	0
11	0	0	0	0	0	0	0
12	0	0	0	0	0	0	0
First Year IHE	0	0	0	0	0	0	0
Total	911	0	0	0	0	0	0

Grade Student was in when Course was Completed	At Least one Advanced Placement Class	Trigonometry	Pre-Calculus	Biology	English & Language Arts	At Least One International Baccalaureate Class	At Least Two Years of Math Beyond Algebra 1
6	0	0	0	0	0	0	0
7	0	0	0	0	0	0	0
8	0	0	0	0	1,167	0	0
9	0	0	0	0	0	0	0
10	0	0	0	0	0	0	0
11	0	0	0	0	0	0	0
12	0	0	0	0	0	0	0
First Year IHE	0	0	0	0	0	0	0
Total	0	0	0	0	1,167	0	0

3. Educational Progress by Current GEAR UP Students:

Current Grade Level	Number of Students Performing at or above Grade Level in English/Language Arts	Number of Students Performing at or above Grade Level in Mathematics	Number of Students with 5 or More Unexcused Absences during the First 2 Quarters of the School Year	Number of Students Taking PSAT or PLAN	Number of Students Taking ACT or SAT Exam
6	0	0	0		
7	0	0	0		
8	551	406	0		
9	0	0	742		
10	0	0	0	0	
11	0	0	0	0	0
12	0	0	0	0	0
Total	551	406	742	0	0

Grade Level	Number of Students Promoted to the Next Grade Level at the End of the Prior School Year
6	0
7	0
8	1417
9	0
10	0
11	0
12	0
Total	1417

4. Baseline High School Graduation and College Enrollment Data:

High School	School Year	Number of 12th Grade Students	Number who Graduated with High School Diploma	Number Enrolled in Post Secondary Institution
		0	0	0

SECTION VI: SURVEY DATA

1. Survey Administration:

Describe the Administration of Your Surveys:

STUDENT SURVEYS

Our student surveys were administered in the classroom via computer, using the survey administration software Qualtrics.

PARENT SURVEYS

Parent surveys were administered using a variety of methods: at GEAR UP events, sent home with students, mailed home to parents, and printed on backs of field trip permission slips.

2. Student Survey Results:

A. Grade Level of Survey Respondents:

Grade Level	Number of Students Given the Survey	Number of Students who Responded to the Survey
6	0	0
7	0	0
8	0	0
9	1729	1612
10	0	0
11	0	0
12	0	0
Other	0	0
Total	1729	1612

Student Response Rate:	93 %
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If you did not meet adequate response rate of 80%, please answer the following:

Explain why the target survey response rates(s) was not met.

What steps will you take to ensure that rates will increase the next time the survey(s) is administered?

B. Number of Students who Have Spoken with Someone about College Entrance Requirements and Financial Aid:

Grade Level	Number of Students who have Spoken with Someone about College Entrance Requirements	Number of Students who have NOT Spoken with Someone about College Entrance Requirements	Number of Students who have Spoken with Someone about the Availability of Financial Aid	Number of Students who have NOT Spoken with Someone about the Availability of Financial Aid
6	0	0	0	0
7	0	0	0	0
8	0	0	0	0
9	1297	432	1037	692
10	0	0	0	0
11	0	0	0	0
12	0	0	0	0
Other	0	0	0	0
Total	1297	432	1037	692

2. Student Survey Results:**C. Financial Aid Knowledge:**

Are you knowledgeable about financial aid and the cost and benefits to you of going to college?

Response	Number of Students Responding
Yes	1089
No	640

D. Educational Expectations:

Response	Total Number of Students Grades 6-8 Responding	Total Number of Students Grades 9-10 Responding	Total Number of Students Grades 11-12 Responding
High school or less	0	104	0
Some college, but less than a 4-year college degree	0	363	0
4-year college degree or higher	0	1262	0

E. Perceptions of Affordability:

Do you think that you could afford to attend a public 4-year college using financial aid, scholarships, and your family's resources?

Response	Number of Students Responding
Yes	1383
No	346

3. Parent Survey Results:

A. Number of Parents who Were Given and Completed the Survey:

Number of Parents Given Survey	Number of Parents Who Completed Survey
400	140

Parent Response Rate:	35 %
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If you did not meet adequate response rate of 50%, please answer the following:

Explain why the target survey response rates(s) was not met.

Engaging parents in completing GEAR UP surveys remains a challenge. We have conducted mass mailings, administered surveys during parent workshops, family events and students events. We also fashioned permission slips for field trips that include the parent survey on the back. Unfortunately, we continue to meet with poor parent response rates.

What steps will you take to ensure that rates will increase the next time the survey(s) is administered?

We will continue to make contact with parents in an effort to increase the percentage who have answered the survey questions.

B. Number of Parents who Have Spoken with Someone about College Entrance Requirements and Financial Aid:

Response	Total Number of Parents Responding
Question 1A, Yes (have spoken with someone about college entrance requirements)	36
Question 1B, No (have not spoken with someone about college entrance requirements)	104
Question 2A, Yes (have spoken with someone about financial aid)	35
Question 2B, No (have not spoken with someone about financial aid)	105

C. Number of Parents who are Knowledgeable about Financial Aid:

Response	Total Number of Parents Responding
Yes	84
No	21

D. Number of Parents who Have Spoken with Their Children about College:

Response	Total Number of Parents Responding
Yes	135
No	5

E. Educational Expectations:

What is the highest level of education that you think your child will achieve?

Response	Total Number of Parents Responding
High school or less	0

Some college, but less than a 4-year college degree	4
4-year college degree or higher	136

F. Perceptions of Affordability:

Do you think that your child could afford to attend a public 4-year college using financial aid, scholarships, and your family's resources?

Response	Number of Parents Responding
Yes	127
No	13